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Application No. 10/777,373 TRANSMITTAL FORM Filing Date February 11, 2004 (to be used for all correspondence after initial filing) First Named Inventor Zong-Fu Li Art Unit 2811 **Examiner Name** To Be Determined Total Number of Pages in This Submission 7 Attorney Docket Number 42P6408C

ENCLOSURES (check all that apply)						
Fee Transmittal Form	Drawing(s)	After Allowance Communication to Group				
Fee Attached	Licensing-related Papers	Appeal Communication to Board of Appeals and Interferences				
Amendment / Response	Petition	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)				
After Final Affidavits/declaration(s)	Petition to Convert a Provisional Application	Proprietary Information				
Extension of Time Request	Power of Attorney, Revocation Change of Correspondence Address	Status Letter				
Express Abandonment Request	Terminal Disclaimer	Other Enclosure(s) (please identify below):				
Information Disclosure Statement	Request for Refund	Return Receipt Postcard				
PTO/SB/08	CD, Number of CD(s)					
Certified Copy of Priority Document(s)						
Response to Missing Parts/ Incomplete Application  Basic Filing Fee  Declaration/POA  Response to Missing Parts under 37 CFR  1.52 or 1.53	Remarks					
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT						
Firm or Individual name  Signature  Farzad E. Amini, Reg. No. 42,261  BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP						
Date June 7, 2004						
CERTIFICATE OF MAILING/TRANSMISSION						
I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.						
Typed or printed name Margaux Rodriguez						
Signature Manual Fraction Date June 7, 2004						

Based on PTO/SB/21 (02-04) as modified by Blakely, Solvkoff Taylor & Zafman (wir) 02/10/2004 USEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

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## TRANSMITTAL for FY 2004

Effective 01/01/2004. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT

(\$)

Complete if Known				
Application Number	10/777,373			
Filing Date	February 11, 2004			
First Named Inventor	Zong-Fu Li			
Examiner Name	To Be Determined			
Art Unit	2811			
Attorney Docket No.	42P6408C			

METHOD OF PAYMENT (check all that apply)				FE	E CALCULAT	ION (continu	ed)	
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☐ Check ☐ Credit card ☐ Order ☐ Other ☐ None  ☐ Deposit Account	Large	Entity	Sma	il Entity	y			
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Deposit Account Name Blakely, Sokoloff, Taylor & Zafman LLP					cover sheet.	initial initial initial		
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The Commissioner is authorized to: ( check all that apply)	1812 1804	2,520 920 *	1812	2,520 920	For filing a request for * Requesting publication	•	nation	
Charge fee(s) indicated below Credit any overpayments	1007		1004	-	Examiner action	or or our prior to		
Charge any additional fee(s) or underpayment of fees as required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.	1805	1,840 *	1805	1,840	* Requesting publication	on of SIR after		
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	1404	330	2401	165	Notice of Appeal			
1001 770 2001 385 Utility filing fee	1402	330	2402	165	Filing a brief in suppo	ort of an appeal		
1002 340 2002 170 Design filing fee 1003 530 2003 265 Plant filing fee	1403	290	2403	145	Request for oral hear	ing		
1004 770 2004 385 Reissue filing fee	1451	1,510	2451	1,510	Petition to institute a	public use proceedi	ng	
1005 160 2005 80 Provisional filing fee	1452	110	2452	55	Petition to revive - un	avoidable		
SUBTOTAL (1) (\$)	1453	1,330	2453	665	Petition to revive - un	intentional		
	1501	1,330	2501	665	Utility issue fee (or re	eissue)		
2. EXTRA CLAIM FEES Extra Fee from	1502	480	2502	240	Design issue fee			
Claims below Fee Paid Total Claims	1503	640	2503	320	Plant issue fee			
Independent Claims X X = =	1460	130	2460	130	Petitions to the Comr			
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1202 18 2202 9 Claims in excess of 20					(37 CFR § 1.129(a))			
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1205 18 2205 9 **Reissue claims in excess of 20 and over	Other fe	e (specify)			of a design application	)		
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**or number previously paid, if greater, For Reissues, see below						CODICIAL (3)	(\$)	
SUBMITTED BY						Comp	lete (if applica	ble)
Name (Print/Type) Farzad E. Amini		egistratio torney/Age			12,261	Telephone	(310) 207	7-3800
Signature Affanul .						Date	06/07	//04

Docket No.: 42P6408C

## THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

ZONG-FU LI, ET AL.

Application No.: 10/777,373

Filed: February 11, 2004

For:

WINDOWED PACKAGE HAVING EMBEDDED FRAME

Art Group: 2811

Examiner: To Be Determined

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed for applications filed after June 30, 2003). This IDS and IDS Citation Form are being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Some or all of the references listed on the enclosed PTO/SB/08 were previously identified in the parent application (Application No. 09/546,225, filed April 10, 2000) and copies of the references were furnished at that time. Accordingly, per 37 CFR §1.98(d)(1) additional copies of those references are not submitted herewith.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Farzad E. Amini, Reg. No. 42,261

12400 Wilshire Boulevard, 7th Floor Los Angeles, CA 90025

Telephone: (310) 207-3800

Date: June 07, 2004

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*06-07-04* 

Date



## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

Complete if Known				
Application Number 10/777,373				
Filing Date	February 11, 2004			
First Named Inventor Zong-Fu Li				
Art Unit 2811				
Examiner Name To Be Determined				
Attorney Docket Number	42P6408C			

	U.S. PATENT DOCUMENTS							
Everines		Document Number	Publication Date		Pages Columns Lines Where			
Examiner Initials*	Cite No.1	Number - Kind Code² (if known)	or Issue Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
		US-6,692,993	02-17-2004	Zong-Fu Li, et al.				
		US-	-					
		US-09/172,734		Kabul S. Sengupta, et al.	(Filed 10/13/1998)			
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	FOREIGN PATENT DOCUMENTS						
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Examiner	Date	
Signature	Considered	

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 08/11/2003.

<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.